



# The New Zealand Gazette

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## Authorities and Other Agencies of State

### **The Securities Amendment Act 1988**

#### **Proposed Recommendation for Regulations About Substantial Security Holders**

Pursuant to section 70 (3) of the Securities Act 1978 (as applied by section 36 (6) of the Securities Amendment Act 1988), notice is hereby given that the Securities Commission intends to make a recommendation for the making of regulations.

The recommendation relates to the making of regulations relating to the disclosure of interests of substantial security holders in public issuers. The proposed regulations will prescribe—

(a) The forms of notices required to be given pursuant to sections 20, 21, 22, 28, and 29 of the Securities Amendment Act 1988;

(b) The information required to be contained in those notices;

(c) The documents, certificates and statements that are required to accompany or be annexed to those notices;

(d) The manner in which those notices are to be given and acknowledged.

A copy of the proposed recommendations may be inspected at the offices of the Commission, Level 6, Greenock House, 39 The Terrace, Wellington.

Dated at Wellington this 29th day of May 1989.

The Common Seal of the Securities Commission was hereunto affixed in the presence of:

[L.S.]

C. I. PATTERSON, Chairman.

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The first part of the document discusses the importance of maintaining accurate records of all transactions. It emphasizes that every entry should be supported by a valid receipt or invoice. This ensures transparency and allows for easy verification of the data.

Furthermore, it is noted that the records should be kept in a secure and accessible format. Regular backups are recommended to prevent data loss in the event of a system failure or disaster.

In addition, the document highlights the need for consistent data entry. Standardized formats and codes should be used throughout the system to avoid confusion and errors. Training for staff on these protocols is essential for successful implementation.

Finally, the document stresses the importance of periodic audits. These audits help to identify any discrepancies or anomalies in the data, allowing for prompt investigation and correction.

The second section of the document provides a detailed overview of the system's architecture. It describes the various components that make up the system, including the database, the application layer, and the user interface.

Each component is explained in terms of its function and how it interacts with the other parts of the system. This helps to provide a clear understanding of the overall system design and its capabilities.

The document also includes a section on security measures. It outlines the various protocols and procedures in place to protect the system and its data from unauthorized access and cyber threats.

These measures include firewalls, intrusion detection systems, and regular security updates. The document also discusses the importance of user access control and the need for strong, secure passwords.

In conclusion, the document provides a comprehensive overview of the system and its various components. It emphasizes the importance of proper data management, system architecture, and security measures for the successful operation of the system.